

SCHMEISER, OLSEN & WATTS LLP

PATENTS, TRADEMARKS & COPYRIGHTS

18 E. UNIVERSITY DR., STE. 101
MESA, ARIZONA 85201-5946

(480) 655-0073
FACSIMILE (480) 655-9536
www.iplawusa.com
E-MAIL - AZ@iplawusa.com

ALBERT L. SCHMEISER *
ARLEN L. OLSEN, MS *
JOHN E. GIBSON
JACK P. FRIEDMAN, Ph.D. *
KRISTEN L. ASHDOWN *
KENNETH C. BOOTH
JOSEPH J. CHRISTIAN *
KEITH L. JENKINS, MS *
PACER K. UDALL
SANDER M. RABIN, MD *
DIANE E. SMITH †
ANTHONY M. PALAGONIA †
DAPHNE G. FOLEY †

OF COUNSEL

CHARLES T. WATTS ††

* OTHER THAN ARIZONA BAR
† PATENT AGENT
†† RETIRED

OTHER OFFICE

3 LEAR JET LANE, SUITE 201
LATHAM, NY 12110
(518) 220-1850
FACSIMILE (518) 220-1857
E-MAIL - NY@iplawusa.com

TECHNICAL STAFF

PETER W. EISSMANN, MIP
JERRY F. DUDDING, Ph.D.

PARALEGALS

LISA A. MOLLOY
JOYCE PATRICK-BAI, MS
TAMBRA L. WHITE
KIMBERLY A. DWILESKE

FACSIMILE COVER SHEET

TO: Wan Laymon

COMPANY: U.S. Patent and Trademark Office

DESTINATION FACSIMILE NUMBER: 703-308-6916

FROM: Tammy White

DATE: December 17, 2002

NUMBER OF PAGES: 5
(DOES NOT INCLUDE COVER)

MESSAGE: Pursuant to your request, following is a copy of an office action sent via facsimile to Examiner Steven H. Rao on 11/08/2001 regarding serial no. 09/105,739, along with the confirmation of transmission.

IF YOU DO NOT RECEIVE ALL OF THE TRANSMITTED PAGES, PLEASE
CALL (480) 655-0073. THANK YOU.

CLIENT/MATTER: BU9-97-149/IBMB-9494.

The information contained in or attached to this facsimile message is attorney's PRIVILEGED AND CONFIDENTIAL information intended only for the person or entity named above. If you are not the intended recipient (or someone responsible to deliver it to the intended recipient), please be aware that any dissemination or copying of this communication is STRICTLY PROHIBITED. If you receive this communication in error, please notify us by telephone immediately at (480) 655-0073.

FAX RECEIVED

DEC 17 2002

PETITIONS OFFICE

CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)

Docket No.

BU9-97-149

Applicant(s): Bronner, et al.

Serial No.

09/105,739

Filing Date

06/26/98

Examiner

Rao, S.

Group Art Unit

2814

Invention:

SELF-ALIGNED SURFACE-TRAP MINT DRAM CELL

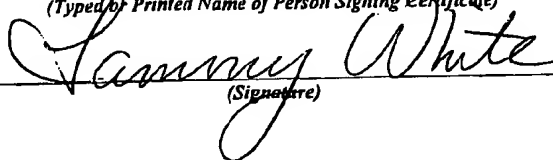
I hereby certify that this Corrected Pages of Office Action Response
(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703/746-3926)

on November 8, 2001
(Date)

Tammy White

(Typed or Printed Name of Person Signing Certificate)



(Signature)

Note: Each paper must have its own certificate of mailing.

FAX RECEIVED

DEC 17 2002

PETITIONS OFFICE

Self aligned
w/ storage
capacitors
+ transistors
to form higher
memory cell density.

SCHMEISER, OLSEN & WATTS LLP**PATENTS, TRADEMARKS & COPYRIGHTS****18 E. UNIVERSITY DR., STE. 101
MESA, ARIZONA 85201-5946****(480) 655-0073
FACSIMILE (480) 655-9536
www.iplawusa.com
E-MAIL - AZ@iplawusa.com****OTHER OFFICES****3 LEAR JET LANE, SUITE 201
LATHAM, NY 12110
(518) 220-1850
FACSIMILE (518) 220-1857
E-MAIL - NY@iplawusa.com****7944 SANTA FE DRIVE
OVERLAND PARK, KS 66204
(913) 901-0102
FACSIMILE (913) 901-0120
E-MAIL - KS@iplawusa.com****TECHNICAL STAFF****PETER W. EISSMANN, MIP
ELIZABETH C. GREY
JERRY F. DUDDING, Ph.D.****PARALEGALS****LISA A. MOLLOY
JOYCE PATRICK-BAI, MS
TAMBRA L. WHITE****ALBERT L. SCHMEISER *
ARLEN L. OLSEN, MS *
S. JARED PITTS
JOHN E. GIBSON
JACK P. FRIEDMAN, Ph.D. *
KRISTEN L. ASHDOWN *
KENNETH A. NELSON, MS
SUSAN SUTTERFIELD WILKS, MS
KENNETH C. BOOTH
JOSEPH J. CHRISTIAN *
KEITH L. JENKINS, MS *
PACER K. UDALL
DIANE E. SMITH †
ANTHONY M. PALAGONIA †****OF COUNSEL****CHARLES T. WATTS ††
FREDRIC T. MORELLE, MS *
WILLIAM A. TEOLI, MS *
JEFFREY L. THOMPSON ****** OTHER THAN ARIZONA BAR
† PATENT AGENT
†† RETIRED****FACSIMILE COVER SHEET****TO: Examiner Steven H. Rao****COMPANY: USPTO****DESTINATION FACSIMILE NUMBER: 703 746 3926****FROM: S. Jared Pitts (Reg No. 38,579)****DATE: November 8, 2001****TIME: 12:55 PM****NUMBER OF PAGES: 3
(DOES NOT INCLUDE COVER)****MESSAGE: Corrected Pages for office action response for 09/105,739****IF YOU DO NOT RECEIVE ALL OF THE TRANSMITTED PAGES, PLEASE CALL
(480) 655-0073. THANK YOU.****CLIENT/MATTER: BU9-97-149**

The information contained in or attached to this facsimile message is attorney's **PRIVILEGED AND CONFIDENTIAL** information intended only for the person or entity named above. If you are not the intended recipient (or someone responsible to deliver it to the intended recipient), please be aware that any dissemination or copying of this communication is **STRICTLY PROHIBITED**. If you receive this communication in error, please notify us by telephone immediately at (480) 655-0073.

FAX RECEIVED**DEC 17 2002****PETITIONS OFFICE**

Transmission Report

Date/Time
Local ID
Local Name
Company Logo

11- 8-01;12:53PM
4806559536
4809697741
SCHMEISER OLSEN
SCHMEISER OLSEN

This document was confirmed.
(reduced sample and details below)
Document Size Letter-S

SCHMEISER, OLSEN & WATTS LLP

PATENTS, TRADEMARKS & COPYRIGHTS

18 E. UNIVERSITY DR., STE 101
MESA, ARIZONA 85201-5946

(480) 655-0071
FACSIMILE (480) 655-9136
www.sjlaw.com
E-MAIL - AJG@sjlaw.com

OTHER OFFICES

3 LAKESIDE LANE, SUITE 201
LATHAM NY 12110
(518) 220-1157
FACSIMILE (518) 220-1157
E-MAIL - NY@sjlaw.com

7944 LAMTA FE DRIVE
OVERLAND PARK, KS 66204
(913) 506-4125
FACSIMILE (913) 501-0120
E-MAIL - KS@sjlaw.com

TECHNICAL STAFF
PETER W. NISSEMAN, MD
ELIZABETH C. GRAY
JERRY P. DUDENKO, Ph.D.

ZARAGOZA
ISA A. HOLLOV
RYAN PATRICK-BALL, MS
TAMARA L. WHITE

ALBERT L. SCHMEISER *
ARLEN L. OLSEN, MS *
J. JARED PITTS
JOHN E. GIBSON
JACK E. FRIEDMAN, Ph.D. *
KEITH W. L. ARNDTSON *
TERESA J. NELSON, MS
ELIAN BUTTERFIELD VOLK, MS
KEVIN C. DODD
JOSEPH J. CHRISTIAN
KEITH L. JOHNSON, MS *
FACON E. LEWIS
DAVID B. DAVIS
ANTHONY M. PALACIOSA *

OF COUNSEL
CHARLES T. WATTS II
FREDRIC T. KOBEL, MS *
WILLIAM A. THOMAS, MS *
JENNIFER L. THOMPSON *

* OTHER THAN ARIZONA BAR
† PATENT AGENT
‡ RETIRED

FACSIMILE COVER SHEET

TO: Examiner Steven H. Rao

COMPANY: USPTO

DESTINATION FACSIMILE NUMBER: 783 746 3926

FROM: S. Jared Pitts (Reg No. 38,579)

DATE: November 8, 2001

TIME: 12:55 PM

NUMBER OF PAGES: 3
(DOES NOT INCLUDE COVER)

MESSAGE: Corrected Pages for office action response for 09/105,739

IF YOU DO NOT RECEIVE ALL OF THE TRANSMITTED PAGES, PLEASE CALL
(480) 655-0073. THANK YOU.

CLIENT/MATTER: BU9-97-149

The information contained in or attached to this facsimile message is attorney's PRIVILEGED AND CONFIDENTIAL information intended only for the person or entity named above. If you are not the intended recipient (or someone responsible to deliver to the intended recipient), please do not print, copy, retransmit or use any information or anything of this communication in any way. If you receive this communication in error, please notify us by telephone immediately at (480) 655-1073.

Total Pages Scanned : 4 Total Pages Confirmed : 4

No.	Doc	Remote Station	Start Time	Duration	Pages	Mode	Comments	Results
1	684	17037463926	11- 8-01;12:51PM	1'36"	4 / 4	EC		CP 14.4

Notes :

EC: Error Correct
BC: Broadcast Send
CP: Completed
HS: Host Scan
HF: Host Fax

RE: Resend
MP: Multi-Poll
RM: Receive to Memory
HP: Host Print
HR: Host Receive

PD: Polled by Remote
PG: Polling a Remote
DR: Document Removed
FO: Forced Output
FM: Forward Mailbox Doc.

MB: Receive to Mailbox
PI: Power Interruption
TM: Terminated by user
WT: Waiting Transfer
WS: Waiting Send